

Sheet 1 of 1

FORM PTO 1449 (modified)

U.S. DEPARTMENT OF COMMERCE  
PATENT AND TRADEMARK OFFICE

LIST OF REFERENCES CITED BY APPLICANT(S)  
(Use several sheets if necessary)

Date Submitted to PTO: December 12, 2001

ATTY DOCKET NO.  
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SERIAL NO.  
09/936,323

APPLICANT  
Tsutomu MINAMI et al.

FILING DATE  
September 12, 2001

GROUP  
4446 1752

U.S. PATENT DOCUMENTS

*EXAMINER INITIAL	DOCUMENT NUMBER	DATE	NAME	CLASS	SUBCLASS	FILING DATE IF APPROPRIATE
	AA					
	AB					
	AC					
	AD					
	AE					
	AF					
	AG					
	AH					
	AI					

RECEIVED  
DEC 14 2001  
TC 1700

FOREIGN PATENT DOCUMENTS

DOCUMENT NUMBER	DATE	COUNTRY	CLASS	SUBCLASS	TRANSLATION YES NO
AJ					
AK					
AL					
AM					
AN					

OTHER DOCUMENT(S) (Including Author, Title, Date, Pertinent Pages, Etc.)

AD	Najafi, S.I., et al. "Sol-Gel Glass Waveguide and Grating on Silicon", Journal of Lightwave Technology, vol. 16, no. 9 (1998), pages 1640-1646.
AP	Touam, T., et al. "Theoretical and experimental study of ridge waveguides with Bragg grating derived from hybrid sol-gel glasses", SPIE, vol. 3282, pages 17-30.
AQ	Andrews, M.P., et al. "Collateral Densification Associated with the Photoresponse of Hybrid Sol-Gel Glasses for Depositing Bragg Gratings on Ridge Waveguides", SPIE, vol. 3282, pages 50-58.

EXAMINER *John L. Webb* DATE CONSIDERED *March 26, 2003*

\*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609; Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

Sheet 1 of 1

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1752

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PCW	AA	5,271,797	12/1993	Kamisawa			
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PCW	AJ	965885	12/1999	Europe			
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PCW	AM	938027	8/1999	Europe			
PCW	AN	7-305030	11/1995	Japan			

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	AO	
	AP	
	AQ	

EXAMINER

J. C. Webb

DATE CONSIDERED

March 26, 2003

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